Induction of apoptosis by ethanol extract of *Prunus mume* in U937 human leukemia cells through activation of caspases

CHEOL PARK¹, CHENG-YUN JIN¹,2, GI-YOUNG KIM³, YONG KEE JEONG⁴, WUN-JAE KIM⁵ and YUNG HYUN CHOI¹,2,6

¹Department of Biochemistry and Research Institute of Oriental Medicine, Dongeui University College of Oriental Medicine, Busan 614-052; ²Department of Biomaterial Control (BK21 Program), Dongeui University Graduate School, Busan 614-714; ³Laboratory of Immunobiology, Department of Marine Life Sciences, Jeju National University, Jeju 690-756; ⁴Department of Medical Bioscience, Graduate School and Department of Biotechnology, College of Natural Resources and Life Science, Dong-A University, Busan 604-714; ⁵Department of Urology, Chungbuk National University College of Medicine, Cheongju, Chungbuk 361-763; ⁶Blue-Bio Industry Regional Innovation Center, Dongeui University, Busan 614-714, Republic of Korea

Received March 3, 2011; Accepted May 2, 2011

DOI: 10.3892/or.2011.1363

**Abstract.** *Prunus mume* (*P. mume*), a traditional drug and health food in Korea, Japan and China, possesses various pharmacological activities that include a potential source of free radical scavenging, anti-viral, anti-microbial, anti-inflammatory and anti-cancer activities. However, the cellular and molecular mechanisms of apoptosis induction by *P. mume* in human cancer cells are poorly understood. In the present study, we conducted an investigation of the pro-apoptotic effects of an ethanol extract of *P. mume* (EEPM) in U937 human leukemia cells. Exposure to EEPM was found to result in a concentration-dependent growth inhibition by induction of apoptosis. Induction of apoptotic cell death of U937 cells by EEPM showed a correlation with the down-regulation of members of the inhibitor of apoptosis protein (IAP) family, including X-linked inhibitor of apoptosis protein (XIAP) and survivin, and anti-apoptotic Bcl-2, up-regulation of FasL, and cleavage of Bic. EEPM treatment induced proteolytic activation of caspase-3, -8 and -9, and degradation of caspase-3 substrate proteins, including poly(ADP-ribose) polymerase (PARP) and β-catenin. In addition, apoptotic cell death induced by EEPM was significantly inhibited by z-DEVD-fmk, a caspase-3-specific inhibitor, which demonstrated the important role played by caspase-3 in the process. Taken together, these findings suggest that EEPM may be a potential chemotherapeutic agent for use in the control of human leukemia U937 cells and that further studies are needed for the identification of the active compounds.

**Introduction**

Leukemia, a malignant hematopoietic tumor, is a cancer of the blood or bone marrow characterized by abnormal proliferation of white blood cells; it ranks sixth among a number of human tumors worldwide (1). Leukemias are classified into two subtypes: acute lymphocytic leukemia originating from lymphocytes in the bone marrow and myelogenous leukemia originating from granulocytes or monocytes (2,3). Treatment of leukemia is difficult, and the probability of recurrence is high due to chemoresistance. To overcome these defects, novel therapeutic strategies are needed to be developed for more effective treatment of this serious disease.

Apoptosis, an active process of programmed cell death, is characterized by DNA fragmentation, cell shrinkage, nuclear condensation, cell surface expression of phosphatidylserine and membrane blebbing. In general, apoptosis is mediated through two major pathways: the extrinsic (death receptor-mediated) and intrinsic (mitochondrial-mediated) pathways. The extrinsic pathway is initiated at the plasma membrane by interaction between ligands and death receptors, such as Fas and Fas ligand (FasL), as well as tumor necrosis factor-related apoptosis-inducing ligand (TRAIL) and death receptors (DRs), and, subsequently, activation of caspase-8. Caspase-8, an initiator caspase, can directly activate downstream effector caspases, including caspase-3 (4,5). In some cells, caspase-8 also mediates the intrinsic pathway via cleavage of the pro-apoptotic Bid protein (6,7). The intrinsic pathway is triggered by mitochondrial dysfunction by a broad range of physical and chemical stimuli (8-10). Mitochondrial dysfunction induces activation of caspase-9 and subsequently activates effector caspases, such as caspase-3 (11). Following activation of caspase-3, several specific substrates, including poly(ADP-ribose) polymerase (PARP) and β-catenin, are cleaved,
eventually leading to apoptosis (12,13). In particular, caspases, a family of cysteine-containing aspartate-specific proteases, are known to be regulated by various molecules, including members of the Bcl-2 family and inhibitors of the apoptosis protein (IAP) family. Bcl-2 family proteins are involved in control of the process of apoptosis by interactions between pro-apoptotic (such as Bax and Bad) and anti-apoptotic (such as Bcl-2 and Bcl-X<sub>L</sub>) members, particularly those of the intrinsic pathway with mitochondrial dysfunction. Cellular proteins of the IAP family (including XIAP, cIAP-1, cIAP-2, and survivin) have been identified in insect cells infected by the baculovirus and have been shown to regulate apoptosis in vertebrates and Drosophila melanogaster (14,15). They specifically inhibit the activity of caspase-3 and -9, while they do not inhibit caspase-8. In the intrinsic pathway, members of the IAP family bind directly to the principal caspase, such as procaspase-3 and -9, and inhibit apoptosis induced by Bcl-2 family proteins (16-18). According to recent studies, many chemopreventive and/or chemotherapeutic agents can cause cell death via induction of apoptosis. Therefore, induction of apoptotic cell death is an important mechanism in the anti-cancer properties of many drugs (19).

Prunus mume, a member of the Rosaceae family, is widely distributed in Korea, Japan, and China, and has long been used as a traditional drug and health food. Previous reports have suggested that P. mume exerts a wide array of pharmacological and biological activities, such as potential sources of free radical scavengers, inhibition of influenza A virus, inhibition of the motility of Helicobacter pylori, improvement of blood fluidity, and inhibition of pro-inflammatory mediators (20-25). In addition, P. mume has been known to exert anti-cancer activities in several types of human cancer cells (26-28). However, cellular and molecular mechanisms underlying the anti-cancer effects of P. mume are not yet fully understood.

In the present study, we conducted an investigation of the effect of apoptosis by an ethanol extract of P. mume (EEPM) in human leukemia U937 cells. We found that EEPM-induced apoptosis was accompanied by modulation of the Fas/Fas ligand (FasL) system, Bcl-2 family and IAP family members, and proteolytic activation of caspases. In addition, blockade of caspase-3 activation reduced EEPM-induced apoptosis in U937 cells.

Materials and methods

Reagents and antibodies. Fetal bovine serum (FBS), RPMI-1640, penicillin, streptomycin, and trypsin-EDTA were purchased from Gibco-BRL (Gaithersburg, MD, USA). 4,6-Diamidino-2-phenylindole (DAPI), propidium iodide (PI), paraformaldehyde, 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT), RNase A, and proteinase K were purchased from Sigma Chemicals (St. Louis, MO, USA). An enhanced chemiluminescence kit (ECL) was purchased from Amersham Corp. (Arlington Heights, IL, USA). Caspase activity assay kits were obtained from R&D Systems (Minneapolis, MN, USA), and the caspase-3-specific inhibitor, z-DEVD-fmk, was obtained from Calbiochem (San Diego, CA, USA). DNA ladder size markers were purchased from Invitrogen (Carlsbad, CA, USA). Antibodies of TRAIL, DR4, DR5, Fas, FasL, XIAP, cIAP-1, cIAP-2, survivin, Bcl-2, Bcl-X<sub>L</sub>, Bax, Bad, Bid, caspase-3, caspase-8, caspase-9, PARP, β-catenin, and actin were purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Horseradish peroxidase (HRP)-conjugated anti-mouse and anti-rabbit secondary antibodies were purchased from Amersham Corp. Other chemicals not specifically cited here were purchased from Sigma.

Cell culture and preparation of EEPM. Human leukemia U937 cells were obtained from the American Type Culture Collection (Rockville, MD, USA). Cells were cultured in RPMI-1640 medium supplemented with 10% heat-inactivated FBS, 2 mM glutamine, 100 U/ml penicillin, and 100 µg/ml streptomycin in a humidified environment with 5% CO<sub>2</sub> at 37°C. For preparation of the ethanol extract of P. mume (EEPM), freeze-dried fruits of P. mume were extracted with ethanol (100 g per 1 liter) at 60°C for 3 days using a blender. The extract was centrifuged at 3,000 rpm for 20 min, and the supernatants were then collected and immediately filtered through a Whatman (Maidstone, UK) filter (pore size, 0.22 µm). The filtrate was lyophilized and stored at -70°C. The yield (w/w) of the extract was ~5.0%. The powder was dissolved to a 100 mg/ml concentration with dimethyl sulfoxide (DMSO), and the stock solution was then diluted with medium to the desired concentration prior to use.

Cell proliferation assay. Cells were seeded into 6-well plates at 1x10<sup>4</sup> cells/ml and incubated for 48 h at 37°C in the absence or presence of variable concentrations of EEPM. Following incubation, cells were washed with phosphate-buffered saline (PBS), trypsinized, and manually counted with a hemocytometer through exclusion of trypan blue. For the morphological study, cells were treated with EEPM for 48 h and then photographed directly using an inverted microscope (Carl Zeiss, Germany).

Cell viability assay. A cell viability assay was performed using MTT assay. For the MTT assay, cells were treated with EEPM for 48 h. After treatments, 0.5 mg/ml MTT solution was added, followed by incubation for 2 h at 37°C in the dark. Absorbance of each well was measured at 540 nm with an enzyme-linked immunosorbent assay (ELISA) reader (Molecular Devices, Sunnyvale, CA, USA).

Nuclear staining with DAPI. For evidence of apoptosis, morphological changes of nuclei were visualized following DNA staining by the fluorescent dye DAPI. Cells were seeded at 1x10<sup>4</sup> cells/ml in 6-well plates and incubated with EEPM. After incubation, cells were fixed with 3.7% paraformaldehyde for 20 min at room temperature and washed with PBS. Cells were then stained with 2.5 µg/ml DAPI solution for 10 min at room temperature. Cells were then washed twice with PBS, and stained nuclei were observed using fluorescence microscopy (Carl Zeiss).

DNA fragmentation assay. Cells were lysed on ice in a buffer containing 10 mM Tris-HCl (pH 7.4), 150 mM NaCl, 5 mM EDTA, and 0.5% Triton X-100 for 30 min at room temperature. Lysates were vortexed and cleared by centrifugation for 20 min at 14,000 rpm, followed by treatment of the supernatant
samples with proteinase K for 3 h at 50°C. Fragmented DNA in the supernatant was extracted using an equal volume of neutral phenol:chloroform:isoamyl alcohol (25:24:1, v/v/v). The upper aqueous layer was supplemented with 5 M NaCl and isopropanol, and incubated for 6 h at -20°C. Following centrifugation for 15 min at 14,000 rpm, DNA pellets were air-dried and dissolved in 20 µl of TE buffer (10 mM Tris-HCl and 1 mM EDTA) with 300 µg/ml RNase. DNA samples were finally separated on 1.6% agarose gels and observed using an ultraviolet light source after staining with ethidium bromide (EtBr, Sigma) (29).

**DNA flow cytometric analysis.** After treatment with EEPM, cells were harvested, washed twice with ice-cold PBS, and fixed with 75% ethanol at 4°C for 30 min, and the DNA content of the cells was stained using a DNA staining kit (CycleTEST PLUS Kit, Becton-Dickinson, San Jose, CA, USA) with PI. DNA content at the sub-G1 phases was then determined by FACSCalibur (Becton-Dickinson) and analyzed by CellQuest software (Becton-Dickinson).

**Protein extraction and Western blot analysis.** Cells were collected with ice-cold PBS and immediately lysed with lysis buffer (20 mM sucrose, 1 mM EDTA, 20 µM Tris-Cl, pH 7.2, 1 mM DTT, 10 mM KCl, 1.5 mM MgCl₂, 5 µg/ml pepstatin A, 10 µg/ml leupeptin, and 2 µg/ml aprotinin) containing protease inhibitors. A Bio-Rad protein assay (Bio-Rad, Hercules, CA, USA) was used according to the manufacturer's instructions for determination of the protein concentrations. After normalization, an equal amount of protein was subjected to electrophoresis on sodium dodecyl sulfate (SDS)-polyacrylamide gels and then transferred to a nitrocellulose membrane (Schleicher & Schuell, Keene, NH, USA) by electroblotting. The membrane was blocked with 5% skim milk and incubated with the primary antibodies and HRP-conjugated anti-mouse and anti-rabbit secondary antibodies. An enhanced ECL detection system was used for visualization of target proteins (30).

**In vitro caspase activity assay.** A colorimetric assay using caspase-3,-8, and -9 activation kits was used according to the manufacturer's protocol for determination of caspase activity. Briefly, harvested cell pellets were lysed in a lysis buffer for 30 min at room temperature and centrifuged at 14,000 rpm for 20 min. Supernatants were collected and immediately measured for protein concentration. Equal amounts of protein (150 µg per 50 µl) were incubated with 5 µl of a reaction buffer and 5 µl of colorimetric tetrapeptides, Asp-Glu-Val-Asp (DEVD)-p-nitroaniline (pNA) for caspase-3, Ile-Glu-Thr-Asp (IETD)-pNA for caspase-8, and Leu-Glu-His-Asp (LEHD)-pNA for caspase-9, respectively, at 37°C for 2 h in the dark. Caspase activity was determined by measurement of changes in absorbance at a wavelength of 405 nm using the ELISA reader (31).

**Results**

**EEPM inhibits proliferation and cell viability in U937 cells.** To investigate the potential effects of EEPM on cell growth, U937 cells were treated with various concentrations of EEPM for 48 h, and the cell number and viability were then measured by trypan blue exclusion method and MTT assay, respectively. As shown in Fig. 1, EEPM induced significant inhibition of proliferation and viability of U937 cells in a concentration-dependent manner. In addition, visual inspection by inverted microscopy revealed that treatment with EEPM resulted in numerous morphological changes (Fig. 2A).

**EEPM induces apoptosis in U937 cells.** To ascertain whether growth inhibition by EEPM is associated with apoptosis, we examined the apoptotic features by measurement of chromatin condensation of nuclei, DNA fragmentation, and the number of cells in the sub-G1 phase. Treatment with EEPM resulted in the observation of a significant number of cells with chromatin condensation and formation of apoptotic bodies in a concentration-dependent manner, whereas these features were not
observed in the control cells (Fig. 2B). As shown in Fig. 2C, treatment with EEPM induced a progressive accumulation of fragmented DNA, which appeared as a typical ladder pattern of DNA fragmentation due to inter-nucleosomal cleavage associated with apoptosis, in a concentration-dependent manner. In addition, the degree of apoptosis was determined by analysis of the amount of sub-G1 DNA content in the U937 cells treated with EEPM using flow cytometry. As shown in Fig. 2D, treatment with EEPM resulted in an increased accumulation of cells with sub-G1 DNA content. Taken together, these results revealed a good correlation between the extent of apoptosis and inhibition of growth in U937 cells.

**Effects of EEPM on the expression of apoptosis-related proteins.** In order to determine which apoptosis pathway contributes to EEPM-induced apoptosis, the death receptor and corresponding pro-apoptotic ligands were first examined using Western blot analysis. Results showed that EEPM treatment resulted in a concentration-dependent increase in the level of FasL. However, levels of TRAIL, DR4, DR5, and Fas expression were relatively unchanged in response to EEPM treatment (Fig. 3A). Next, we examined the effects of EEPM on levels of the Bcl-2 family proteins. As shown in Fig. 3B, levels of anti-apoptotic Bcl-2 expression were significantly inhibited in response to EEPM treatment, and the pro-apoptotic protein Bid, a BH3-only pro-apoptotic member of the Bcl-2 family, was truncated in a concentration-dependent manner, whereas the levels of anti-apoptotic Bcl-XL, and pro-apoptotic Bax and Bad remained virtually unchanged in response to EEPM treatment. Under the same conditions, expression levels of the IAP family proteins were also examined. Fig. 3C shows that EEPM treatment resulted in a concentration-dependent decrease in the expression levels of the XIAP and survivin proteins, but not cIAP-1 and cIAP-2.

**EEPM induces activation of caspases and cleavage of caspase-3 substrate proteins.** According to recent studies, caspases are very important regulators of the apoptotic pathway. Therefore, we examined the expression levels and activities of caspase-3, -8, and -9 during EEPM-induced apoptosis by Western blot analysis and the *in vitro* caspase activity assay. Results showed that EEPM treatment resulted in cleavage and/or down-regulation of pro-caspase-3, -8, and -9 proteins in a concentration-dependent manner (Fig. 4A). To further quantify the proteolytic activation of the caspases, we determined that lysates equalized for protein were obtained from cells treated with EEPM using DEVD-pNA, IETD-pNA, and LEHD-pNA as substrates for caspase-3, -8, and -9.
respectively. As shown in Fig. 4B, treatment with EEPM resulted in a marked increase of the activity in caspase-3, -8, and -9 in a concentration-dependent manner. In addition, EEPM treatment led to progressive proteolytic cleavage and/or down-regulation of PARP and \( \beta \)-catenin proteins, endogenous caspase-3 substrates (Fig. 4A).

Inhibition of EEPM-induced apoptosis by caspase-3 inhibitor.

To further confirm the involvement of EEPM-induced activation of caspase-3 in EEPM-induced apoptotic cell death, U937 cells were pre-treated with or without z-DEVD-fmk (50 \( \mu \)M), a caspase-3-specific inhibitor, for 1 h, followed by treatment with EEPM for 48 h, respectively. As shown in Fig. 5A, treatment with z-DEVD-fmk resulted in significant prevention of the appearance of cells with apoptotic features, such as chromatin condensation and formation of apoptotic bodies, indicating that EEPM-induced apoptotic cell death was mediated through caspase-3 activation. Similarly, pre-treatment with z-DEVD-fmk induced restoration of cell viability and attenuation of the progressive accumulation of fragmented DNA (Fig. 5B and C). These results provide evidence of EEPM-induced apoptotic cell death in association with activation of caspase-3 in U937 cells.

Discussion

\( P. mume \) is a fruit tree belonging to the Rosaceae family and is widely cultivated in East Asian countries. From ancient times, various parts of \( P. mume \) have been used as a health food and a medicinal agent for the treatment of fever, cough, and intestinal disorders. In addition, recent studies have reported that \( P. mume \) possesses various pharmacological activities, including inhibition of influenza A virus and motility of \( Helicobacter pylori \), potential sources of free radical scavengers, improvement of blood fluidity, anti-inflammation, and anti-cancer action (21-28). However, the cellular and molecular mechanisms responsible for the apoptotic effects of \( P. mume \) have not yet been determined in human cancer cells. In the present study, using a human leukemia U937 cell line,
we demonstrated the inhibition of cell growth and viability by EEPM, as well as an alteration in cell morphology in a concentration-dependent manner (Figs. 1 and 2A). To further confirm that EEPM-induced anti-proliferative effects are related to the induction of apoptosis, induction of apoptosis by EEPM was confirmed by measurement of chromatin condensation of nuclei, DNA fragmentation, and induction of the sub-G1 phase (Fig. 2B-D).

Regulation of apoptosis is critical for maintenance of tissue development and homeostasis (32). Dysregulated apoptosis induces a number of pathological conditions, including cancer. Therefore, induction of apoptosis is an important target for cancer therapy. Apoptosis is mediated through activation of either an extrinsic (death receptor-mediated) or intrinsic (mitochondrial-mediated) pathway, both regulated by caspases, death receptors and the Bcl-2 family. The extrinsic pathway is activated by the binding of death receptors to their ligands. Binding of death receptors and their ligands induces activation of caspase-8 and triggers the caspase cascade downstream, including caspase-3 (4,5,33,34). The intrinsic pathway is triggered by cell stressors (including ultraviolet and γ-irradiation, heat and DNA damage) and many chemotherapeutic agents, resulting in induction of mitochondrial dysfunction. Members of the Bcl-2 family are the major genes involved in the regulation of the intrinsic pathway. In mammals, anti-apoptotic members of this family include Bcl-2 and Bcl-XL, and pro-apoptotic members include Bax, Bak, Bad, Bim and Bid. The balance between anti-apoptotic and pro-apoptotic members decides the fate of the cell through mitochondrial dysfunction (8,9). In addition, members of the IAP family have been reported to exert their anti-apoptotic effects due to their function as direct inhibitors of activated caspases. Therefore, down-regulation of IAPs relieves the triggering block of pro-apoptotic signaling and the execution caspases, thus activating cell death (17,35). In the present study, we demonstrated that EEPM-induced apoptosis is associated with an increase in FasL, a decrease in Bcl-2, and cleavage of Bid. In addition, expression levels of the XIAP and survivin proteins were decreased by EEPM in a concentration-dependent manner (Fig. 3).

Caspases, a family of cysteine-containing aspartate-specific proteases, are known to play key roles during apoptosis and lead to the initiation and execution of apoptosis. Activation of initiator caspases, such as caspase-8 and -9, resulted in downstream activation of effector caspases, such as caspase-3, -6, and -7 (4,5). In particular, activation of caspase-3 is responsible for the proteolytic degradation of many key proteins, including PARP and β-catenin, finally leading to apoptosis (13,36). In the present study, we demonstrated that treatment with EEPM induced activation of caspase-3, -8, and -9, and concomitant proteolytic degradation and/or down-regulation of PARP and β-catenin proteins (Fig. 4). In addition, pre-treatment with the caspase-3-specific inhibitor, z-DEVD-fmk, prevented chromatin condensation and DNA fragmentation, and restored cell viability by EEPM (Fig. 5). The present data demonstrated that EEPM induced an increase in the levels of FasL and the enzymatic activity of extrinsic and intrinsic caspase cascades, such as caspase-8 and -9, which was associated with increased levels of truncated Bid expression. In addition, caspase-3 was activated and PARP and β-catenin proteins were then progressively cleaved in the EEPM-treated U937 cells.

In summary, the results of this study demonstrate that EEPM triggers the apoptosis of human leukemic U937 cells...
through activation of the intrinsic caspase pathway along with the death receptor-mediated extrinsic pathway, and that caspase-3 is the key molecule responsible for mediation of EEPM-induced apoptosis. However, it is still unclear whether *Prunus mume* can induce apoptosis through other pathways, and further studies are needed for the identification of the active compounds. Results of this study provide new information on possible mechanisms for the anti-cancer activity of *Prunus mume*.

Acknowledgements

This study was supported by the Basic Science Research Program through the National Research Foundation of Korea, funded by the Ministry of Education, Science and Technology (2010-0001730), and Technology Development Program for Agriculture and Forestry (610003-03-1-SU000), Ministry for Food, Agriculture, Forestry and Fisheries.

References


