Inhibition of C6 glioma in vivo by combination chemotherapy of implantation of polymer wafer and intracarotid perfusion of transferrin-decorated nanoparticles

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Abstract. The objective of this study was to develop a combination chemotherapy of implantation of a 3-bis(2-chloroethyl)-1-nitrosourea (BCNU)-loaded wafer and intracarotid perfusion of BCNU-loaded nanoparticles for glioma treatment in vivo. BCNU-loaded poly(D,L-lactic acid) (PLA) nanoparticles coated with transferrin (Tf) were prepared by a solvent evaporation/diffusion method using Tf as the emulsifier. X-ray photoelectron spectroscopy, Bratton-Marshall colorimetric assay and zeta-potential analysis confirmed the existence of Tf on the nanoparticles and their functional activities. BCNU-loaded PLA wafers were made of BCNU-loaded PLA microspheres. In vitro drug release behavior demonstrated that BCNU was released from the Tf-PLA nanoparticles and wafers in two distinct phases. The biodistribution of Tf-coated nanoparticles investigated by 99mTc-labeled single-photon emission computed tomography (SPECT) showed that the surface-containing Tf-PLA nanoparticles were concentrated in the brain. Inhibition of tumor growth in the C6 glioma-bearing animal model showed that combinational chemotherapy of BCNU-loaded wafer and BCNU-loaded PLA nanoparticles had a stronger inhibitory effect and prolonged the average survival time of rats (164%) compared with that of the control group. Furthermore, the tumors of this treatment group were not visible by examination at 4 weeks. The results of this study demonstrate for the first time that combination therapy of implantation of a BCNU-loaded wafer and intracarotid perfusion of BCNU-loaded nanoparticles may be a new strategy for glioma gene therapy.

Introduction

The treatment of glioma is one of the most difficult challenges in oncology. Despite treatment combining surgical resection, radiotherapy and chemotherapy, the 1-year median survival span of patients has not been significantly improved for 30 years (1,2). The failure of chemotherapy is due to the inability of intravenously administered anticancer agents to reach the brain parenchyma. An endothelial cell monolayer associated with pericytes and astrocytes, known as the blood-brain barrier (BBB), separates the blood from the cerebral parenchyma and prevents the penetration of drugs into the central nervous system (CNS) (3,4). In addition, the deficiency in pinocytic vesicles and the high metabolic capacity of cerebral endothelial cells limit the entrance of anticancer agents from the plasma to the CNS (5).

Various (neurosurgical) invasive strategies have been developed to improve the penetration of drugs into the brain. Among them, interstitial drug delivery was widely used for many years. Therapeutic agents were directly injected into the cerebral parenchyma. The implantation of polymeric matrices, such as microspheres loaded with the anticancer agent, has been used for the treatment of malignant gliomas (6-9). After implantation, the matrix releases the drug by hydrolytic degradation of the polymer. The Gliadel® wafer, another intracavitary treatment using a controlled release system, has also been developed (10). The therapeutic effect of the Gliadel wafer was tested in two phase III clinical trials (11,12). The median survival time obtained in the treated patients was significantly prolonged in comparison to untreated patients, but the improvement was
still limited since only a 2-month increase in survival time could be obtained. Indeed, these invasive strategies rely on the diffusion of therapeutic molecules but this mechanism is poor in the cerebral parenchyma, as the diffusion rate of the drug decreases with the square of the diffusion distance (13). As a result, the chemotherapeutic agent may have an effect only at the vicinity of the implantation site (14), leading to failure of effective inhibition in the invasive region of the glioma (15).

Non-surgical strategies have also been investigated. Over the last decades, the most promising approach seems to be nanocarriers technology (16-18). Several major features of nanoparticles (NPs) make them especially useful for this application. Firstly, they represent an injectable drug delivery system with a particle size of 100 nm or less. These particles can easily enter brain capillaries and reach the surface of the brain microvascular endothelial cells (BMVEC) which form the BBB, and can even reach a deeper distance from the vascular surface (19). Secondly, NPs can be modified with specific targeting ligands that recognize the receptors on the BMVEC and mediate the NPs across the BBB. In particular, Partridge proposed that insulin and transferrin (Tf) receptors are good candidates for this purpose (20). Third, following the delivery of the NPs to the disease site in the body, the polymer matrix can slowly degrade resulting in sustained release of the encapsulated therapeutic agents (21). Thus, the NPs have a dual capacity as drug carriers of targeting and sustained release capability.

Physiologically, the drug released from the implant wafer or microspheres may adopt a distribution pattern different from that released form the NPs injected. As shown in Fig. 1, drugs are released from the wafer at the center of the tumor to the periphery. The drug diffusion is limited to the vicinity of the implantation site, thus, it is inaccessible to the invasive regions of the tumor. However, the NPs via vascular injection could disperse inside the tumor from the periphery, and treat the tumor part that is far from the wafer location.

Based on these deductions, the objective of the present study was to evaluate the inhibition of glioma growth in vivo by combining the interstitial chemotherapy and the targeting drug delivery strategy. The BCNU-loaded wafers were implanted in the tumor while the BCNU-loaded PLA NPs decorated with Tf were administrated by intracarotid perfusion. The results showed that the combined therapy significantly prolonged the survival time of glioma-bearing rats in comparison with either treatment alone.

Materials and methods

Materials and reagents. Poly(DL-lactic acid) (PLA, average molecular weight of 30 kDa) and transferrin (Tf) were purchased from Sigma. 3-bis(2-chloroethyl)-1-nitrosourea (BCNU) was obtained from the Tianjin Pharmaceutical Group Corporation. Diethylenetriaminepentaacetic acid anhydride (DTPAA) was obtained from Fluka. Sprague-Dawley (SD) rats were purchased from the Animal Center of the Chinese Military Medical Science Institute (Beijing, China). The Jiangwan animal stereotactic device model I C was from the Shanghai Second Military University (Shanghai, China).

Preparation of BCNU-loaded Tf-PLA NPs. BCNU-loaded Tf-PLA NPs were prepared according to a procedure described by our laboratory (22). Briefly, 20 mg PLA was dissolved in 2 ml aceton, followed by addition of 20 mg BCNU with light prevention at 4˚C. The resulting solution was poured to 25 ml aqueous Tf (4 mg/ml) in acetic acid-sodium acetate buffer (0.1M, pH 4.5) followed by reduced pressure rotary evaporation. The system was then stirred magnetically at room temperature until complete evaporation of the organic solvent. The NPs were purified on a Sephadex G50 column with 0.05 M PBS to remove the uncoated Tf. The suspension was lyophilized until use.

Characterization of BCNU-loaded Tf-PLA NPs. The binding of Tf to PLA nanoparticles was confirmed by X-ray photoelectron spectroscopy (XPS, Perkin-Elmer PHI1600 ESCA system) and Zeta-potential measurements (BI-zeta plus; Brookhaven Instruments Co., USA). The morphology of NPs was observed using a JEOL-100CXII TEM. The particle size distribution were assessed by Photo Correlation Spectroscopy (PCS, 90 Plus/BI-MAS Particle size analyzer, USA).

Determination of BCNU loading efficiency. According to a procedure previously described, the Bratton-Marshall colorimetric assay was used to determine the BCNU loading (23). BCNU-loaded PLA NPs (10 mg) were dissolved in 500 µl methylene chloride and then adjusted to 10 ml with ethanol to precipitate the polymer. The suspension was centrifuged at 15,000 rpm for 20 min (4˚C). The supernatant (200 µl) was added to 1 ml of 0.5% sulfanilamide in 2 N HCl and 1.8 ml of deionized water. The sample was placed in a water bath at 50˚C for 45 min. After incubation, the sample was rapidly cooled in an ice bath. Thereafter, 100 µl of N-(1-naphthyl) ethylenediamine dihydrochloride aqueous solution (3 mg/ml) were added. After 10 min, the absorbance at 540 nm was measured.
The drug loading efficiency were defined by the following expression:

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\text{Drug loading (\% w/w) = \frac{\text{Mass of drug in nanoparticles} \times 100}{\text{Mass of nanoparticles recovered}}}
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In vitro drug release study. Release of BCNU from PLA NPs was investigated in vitro according to the procedure described by our laboratory (22). A known amount of BCNU-loaded NPs were placed in dialysis bags, which were incubated in vials containing phosphate-buffered saline (PBS, pH 7.4). The vials were placed in a thermostatic vibrator at 37°C. Periodically, the PBS was removed and replaced with fresh PBS. The amount of BCNU released into PBS was calculated by the amount of BCNU remaining in the NPs because BCNU is unstable in the test condition. The solution was immediately determined for the presence of BCNU by the Bratton-Marshall colorimetric assay as above. The BCNU released into PBS per time point was divided by the total BCNU loaded and integrated over time to describe the cumulative release profile.

Preparation of BCNU loaded PLA wafer and drug release in vitro. BCNU-loaded PLA wafer was made from BCNU-loaded PLA microparticles. BCNU-loaded PLA microparticles were prepared using a spray-drying method. Briefly, PLA (3%) and BCNU (5% of PLA) were co-dissolved in solvent ethyl acetate at 4°C. The solution was spray-dried by a fluidized bed coater (Spray-dryer L-117, LaiHeng, Beijing) under the conditions of 7±2 ml/min of pump speed, 30±4 psi of spraying air pressure, and 40±2°C of inlet air temperature. The spray-dried microparticles were freeze-dried at 35 mTorr, and at -50°C for 48 h. Cylindrical wafers with 5 mg weight (3 mm in diameter, 0.5 mm in thickness) were then prepared using the steel molding press. The wafers were stored at 4°C and sterilized by γ-rays before use. Use in vitro drug release kinetics of the wafer were accessed using the same procedure employed by the BCNU-loaded NPs.

Biodistribution of NPs in vivo

Animal model. The C6 glioma-bearing animal model was developed as previously described (24). Adult male SD rats weighing between 200-250 g were anesthetized by an intraperitoneal injection of 10% chloral hydrate (300 mg/kg), and then fixed in a stereotactic apparatus. A 1.2-mm burr hole was drilled into the right side of the skull (1 mm anterior and 3.0-3.5 mm lateral to the bregma) to expose the dura. Using a microliter syringe equipped with a 26-gauge needle connected to the manipulating arm of the stereotactic apparatus, 1×10⁶ of parental C6 glioma cells in 10-µl serum-free DMEM were injected into the caudate nucleus at a depth of 4.0-4.5 mm of parental C6 glioma cells in 10-µl serum-free DMEM were injected into the cerebellum at a depth of 4.0-4.5 mm lateral to the bregma. The rats were implanted following the procedure below. The surgical wounds were reopened and the wafer was placed into the tumor with the aid of an operating microscope. After ensuring hemostasis, the skin was closed with surgical staples. For the evaluation of the effect of combination therapy, the animals received a wafer implantation and BCNU-loaded PLA NPs treatment group received a dose of 2 mg/kg BCNU into the left common carotid. The animals in the blank PLA NP treatment group received empty NPs equivalent to the NPs concentration that was administered to the rats in the PLA-BCNU NPs treatment group. The rats in the BCNU-PLA wafer and BCNU-loaded PLA wafer treatment groups were observed. The enhanced MRI with Gd-DTPA (gadolinium-diethylenetriamine pentaacetic acid) was used for monitoring the tumor size at different periods in the same animal and for comparison of the development of tumors in different groups of rats. The performance of MRI has been previously described (12). Whenever the rats died naturally or were sacrificed at one of the various time points, their brains were removed.

Statistical analyses. A commercially available software package SPSS10.0 was used for statistical analysis. The one-way analysis of variance (ANOVA) test was used to analyze the significance between the groups. The LSD (Least Significant Difference) test was used to determine the significance of any differences between the groups.
Significant Difference) multiple comparison test with different groups was used when the probability for ANOVA was statistically significant. Statistical significance was determined when $p<0.05$.

**Results**

**BCNU-carrier properties and drug release.** Due to the emulsification of protein, the BCNU-loaded Tf-PLA NPs possessed a spherical shape (Fig. 2A) with an average size of $120\pm26\text{ nm}$ with a polydispersity index of 0.098 (Fig. 2B). Intact BCNU in the NPs was quantified via a colorimetric assay using the Bratton-Marshall assay, which is sensitive only to the whole BCNU molecule. The drug content was only $3.87\pm0.52\%$ because of the leakage of the BCNU from the NPs during the solvent evaporation process. The existence of the Tf protein on the surface of PLA NPs was proven by XPS and zeta-potential measurement. To exclude the possible nitrogen pollution from the atmosphere, PLA NPs prepared in PVA solution were used as a control sample. The XPS survey scans for the PLA and Tf-PLA NPs samples are shown in Fig. 2C and D. The enrichment of the surface with nitrogen-containing functionalities was evident for the Tf-coated samples, and the atomic percent content of nitrogen was 6.6%, which was much larger than that on the PLA sample, where the atomic percent content of nitrogen was <$0.2\%$. At the same time, the zeta-potential of

![Figure 2. Construction and characterization of BCNU-loaded Tf-PLA NPs.](image-url)
the Tf-PLA NPs was -23.1±3.4 mV (Fig. 2E), which was higher than that (-35.2±2.7 mV) of the native PLA NPs (Fig. 2F). This characterization demonstrated that Tf-PLA, a nanoscale drug delivery system with targeting function, had been successfully constructed.

BCNU was released from the Tf-PLA NPs and wafers in two distinct phases. Approximately 50% of the BCNU from Tf-PLA NPs was released during the initial 12 h. This initial burst was followed by a much slower release of the majority of the remaining contents during the next 7 days. The size of the drug-loaded wafers were 3 mm in diameter and 0.5 mm in thickness with a drug content of 4.59%. The Tf-PLA wafer released BCNU with nearly a zero-degree release in vitro for over 1 month with an accumulated drug release of 82%. Due to the BCNU instability, it is impossible to achieve 100% drug release to PBS.

Biodistribution of Tf-PLA NPs. The biodistribution of radiolabeled Tf-PLA NPs in glioma-bearing rats after 2 h of intracarotid perfusion is shown in Fig. 3A. For both of the Tf-PLA and BSA-PLA NPs, the highest levels of radioactivity were found in the liver and spleen. However, for Tf-PLA NPs, the amount of the radioactivity in the brain was greatly increased compared to BSA-PLA NPs (Fig. 3B and C). This effect in the brain is further illustrated in Fig. 3D presenting brain/plasma radioactivity ratios for Tf-PLA and BSA-PLA NPs. This ratio for the Tf-PLA NPs increased by as much as 2.5-fold compared to BSA-PLA NPs (p<0.05).

Tumor inhibition growth in vivo. The general conditions of control and blank PLA NPs-treated rats were deteriorated 1 week after receiving the injection of parental C6 glioma cells and empty NPs. A reduction in drinking and eating, weight loss, and progressive left hemiplegia appeared. All the rats in the control and blank PLA NPs groups died within 15 days after implantation, giving a median survival time of 12.9±0.5 days and 13.1±0.6 days, respectively (p>0.05). Rats that received BCNU had a median survival time of 14.7±0.7 days. There was no statistical difference in the survival time between the control and BCNU-treated groups (p=0.2340). In the BCNU-loaded Tf-PLA NPs treatment group, rats had similar general manifestations to those in the control group at the beginning of the second week after injection, but they had the smallest weight loss and lived longer with a median survival time of 19.7 days and an increased life-span (ILS) of 53%. After 1-week treatment, 2 rats were at almost the same situation as rats in the control group, with clinical signs of hemiparesis and bleeding eyes. The other 8 rats were in good health condition compared to the control groups. For two of these rats, a decrease in tumor volume was evident after 1-week treatment. However, these animals died in the end due to tumor recurrence. The prolonged survival time in the BCNU-loaded Tf-PLA NPs treatment group indicated the significant difference as compared to the two control groups, the control and the BCNU-treated group (p=0.001). Rats that received wafer treatment had a median survival time of 25.6±2.6 days with an ILS of 98%. One of these animals did...
not die as a result of brain-tumor growth. Rats that received wafer and Tf-PLA NPs treatment had a median survival time of 34.0±3.3 days with an ILS of 164%. Three of them were still alive and healthy 3 months after tumor treatment. The prolonged survival in the wafer and Tf-PLA NPs-treatment group indicated a significant difference as compared with the wafer groups and BCNU-loaded Tf-PLA NPs-treated group (p=0.001) (Fig. 4A).

In the rats of the control group and the treated group examined by MRI, the tumor foci began to develop on day 5 after implantation and appeared as enhanced distinct tumor foci on day 7. The foci grew rapidly and enlarged to occupy the largest portion of the right cerebral hemisphere at the end of the second week after implantation. They were homogeneously enhanced or irregularly rim-enhanced. Among the rats of the BCNU treated group examined by MRI, the tumor growth pattern was similar to those detected in the control and blank PLA NPs-treated groups. The tumor growth in 10 rats was slower than those in the control group. The MRI features of the rats in the wafer and Tf-PLA NPs treatment groups were the same as those in the control and blank PLA NPs treatment groups during the first weeks after implantation. Tumor foci were regressed significantly at 2 weeks and disappeared on MRI examination 4 weeks after implantation in 2 rats (Fig. 4B).

Discussion
The treatment of glioma is one of the most difficult challenges due to its infiltrative and aggressive nature. Various invasive strategies have been developed to improve the penetration of
drugs into the brain (3). Among them, the wafer, another intracavitary treatment, has also been developed (26). The median survival time of the wafer-treated patients was significantly prolonged by 2 months in comparison with the untreated patients. However, this chemotherapeutic method may be effective only in the vicinity of the implantation site rather than the invasive region of the glioma (27). Over the last decades, the most promising approach seems to be nanotechnology. Following the NPs arrival at the disease site in the body, the polymer matrix slowly degrades resulting in sustained release of the encapsulated therapeutic agents. Thus, the NPs have a dual capacity of drug targeting delivery and sustained release capability (28,29).

Glioma therapy via NPs has two remaining challenges due to the impermeable nature of the BBB and the lack of tumor-tissue specificity. Different strategies have been proposed to construct NPs that may cross the BBB and demonstrate specific delivery of the drug to the glioma. The binding of the endogenous and chimeric ligands renders the NPs capable of selectively recognizing brain capillary endothelial cells and cerebral tumoral cells, improving both the selective brain targeting and the tumor uptake of therapeutic molecules (30). Different ligands have been used to functionalize the nanoparticles, include Tf (31), RGD (32) and folic acid (33). Tf has been used as a tumor-targeting ligand for several drug delivery systems to the brain (34-37). Thus, in the present study, Tf was chosen as the biofactor to functionalize the BCNU-loaded PLA NPs for the targeting drug delivery. Although there have been various ligand conjugation strategies, the functionalization of drug-loaded PLA NPs is still a challenge, not only due to the absence of reactive groups in the PL backbone chain but also due to the fact that inappropriate conjugation will lead to leakage of the entrapped drug (38). One of the methods for PLA NPs preparation is emulsion-solvent evaporation using serum albumin as a colloidal stabilizer (39,40). The conformational immunochrometry study demonstrated that 90% of the serum albumin conjugated with PLA NPs can be considered as native (41). Accordingly, in our articles, Tf was successfully conjugated on the surface of PLA NPs via this method. The binding of Tf on the surface of PLA NPs was confirmed by XPS and zeta-potentials analysis. The zeta-potentials of the NPs are negative, which is very important for obtaining low toxicity towards BBB (42). The characterization above demonstrated that the nanoscale drug delivery system with the targeting and transmembrane functions, Tf-PLA, was successfully constructed.

The targeting and transmembrane functions of PLA NPs in vivo were further analyzed by the radiotracer labeling and SPECT method. The results demonstrated that Tf could enhance BCNU-loaded PLA NPs intake in the brain. This method, however, could not give an answer whether the NPs get across the BBB and penetrate into the brain. From a physiological standpoint, it can be imaged that the NPs, at least part of them, adopt an ‘outside to inside’ method, penetrating across BBB and accumulating in the glioma, whether by passive diffusion or receptor-ligand binding induced endocytosis. There have been a number of reports suggesting that the integrity of BBB in the brain tumor is compromised by the angiogenic processes in tumors. These new vessels are leaky and thus allow for increased brain penetration of molecules (43,44). There are also studies reporting that an increase in vessel wall thickness is a common feature of the glioma vasculature and is attributed to endothelial cell hyperplasia, leading to an increase in non-selective transendothelial transport. These changes may contribute to the transport of NPs to the brain tumor across the BBB. In addition to the passive diffusive method, the receptor-mediated method is the most effective way for the NPs to penetrate the BBB.

In the present study, although only a few NPs were accumulated in the brain, the antitumor effect of BCNU-loaded Tf-PLA NPs was still pronounced. On the other hand, although the wafer treatment is much better than BCNU-loaded Tf-PLA NPs, the limitation in the efficacy of the wafer may be attributed to the rapid elimination of BCNU. It has been shown that the penetration distance was ~5 mm at day 1 and ~1 mm at day 3. The enhanced penetration observed on day 1 appears to be due to accumulation of extracellular fluid caused by transient, vasogenic edema, which disappeared by day 3. Accordingly, the most optimal efficacy seems to be acquired by wafer and NPs interstitial chemotherapy. Therefore, in this study, as a compensation for the wafer interstitial chemotherapy, BCNU-loaded Tf-PLA NPs were administered via intracarotid perfusion. The results demonstrated the hypothesis, as shown in Fig. 1, that combination of wafer interstitial chemotherapy and intracarotid perfusion of functionalized BCNU-loaded nanoparticles could significantly prolong the survival ratio of the animal model, suggesting the potential clinical significance of this method.

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