Baicalein reverses hypoxia-induced 5-FU resistance in gastric cancer AGS cells through suppression of glycolysis and the PTEN/Akt/HIF-1α signaling pathway

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Abstract. Cancer cells can survive under hypoxia by metabolic reprogramming to achieve a high level of glycolysis, which contributes to the development of chemoresistance. Therefore, inhibition of glycolysis would be a novel strategy for overcoming hypoxia-induced drug resistance. Baicalein, a flavonoid derived from the root of Scutellaria baicalensis, has been reported to exert strong antitumor activity toward various types of cancer. In the present study, we evaluated the effect of baicalein on hypoxia-induced 5-fluorouracil (5-FU) resistance in gastric cancer AGS cells and investigated the possible molecular mechanisms. We found that baicalein increased the sensitivity of AGS cells to 5-FU treatment under hypoxia. In addition, the hypoxia-enhanced glycolytic flux and expression of several critical glycolysis-associated enzymes (HK2, LDH-A and PDK1) in the AGS cells were suppressed by baicalein. Furthermore, baicalein inhibited hypoxia-induced Akt phosphorylation by promoting PTEN accumulation, thereby attenuating hypoxia-inducible factor-1α (HIF-1α) expression in AGS cells. These results together suggest that inhibition of glycolysis via regulation of the PTEN/Akt/HIF-1α signaling pathway may be one of the mechanisms whereby baicalein reverses 5-FU resistance in cancer cells under hypoxia.

Introduction

Gastric cancer (GC) is one of the leading causes of cancer-related mortality, accounting for more than 700,000 deaths worldwide annually (1). Although great efforts have been invested to treat GC in the clinic, the overall 5-year survival rate of GC patients is only 20-25%, with a median survival time of ~24 months (2). Resistance to chemotherapy is one of the important factors responsible for the poor prognosis of GC. 5-Fluorouracil (5-FU) is an important chemotherapeutic drug used for the systemic treatment of GC. 5-FU exerts its anticancer effects through inhibition of thymidylate synthase and incorporation of its metabolites into DNA or RNA. Multiple clinical trials have demonstrated that single or combination therapy based on 5-FU confers survival benefits for GC patients (3,4). However, there are a number of GC patients resistant to 5-FU treatment, which is a main reason for the failure of clinical GC chemotherapy.

The fast growth of cancer cells and the rapid expansion of the tumor mass usually outpace new vascular generation, resulting in an insufficient blood supply to certain areas of the tumor tissues. Therefore, a hypoxic microenvironment is present in most solid tumors. Growing evidence shows that hypoxia has a negative impact on chemotherapy (5). Hypoxia-inducible factor-1α (HIF-1α) plays an essential role in the adaptive response of tumor cells to hypoxia and is associated with the development of hypoxia-induced resistance to chemotherapeutic drugs. Recently, HIF-1α has been shown to be overexpressed in a variety of tumors including GC and to enhance 5-FU drug resistance resulting in the poor response of GC patients to 5-FU-based chemotherapy (6,7). HIF-1α regulates more than 60 target genes involved in cell proliferation, apoptosis, angiogenesis and glycolytic metabolism (8-10). Of these phenotypes, the activation of the glycolytic pathway is a necessary one that enables tumor cells to survive under hypoxia. A previous study demonstrated that a high rate of glycolysis is one of the major causative factors for hypoxia-induced drug resistance in cancer cells (11). Therefore, inhibition of HIF-1α and glycolysis in cancer cells appears to be a potential strategy for the reversal of hypoxia-induced resistance to chemotherapy.

Hypoxia initiates intracellular signaling pathways, leading to the activation of HIF-1α in tumor cells. Akt is one of the
important regulatory pathways controlling HIF-1α protein levels, which therefore may be a promising therapeutic target for overcoming HIF-1α-mediated apoptotic resistance in tumor cells under hypoxia (12-16). PTEN is a tumor-suppressor functioning as an antagonist of the Akt signaling pathway. Loss of PTEN has been found to augment HIF-1α-mediated gene expression, and restoration of PTEN can inhibit the expression of HIF-1α (17).

Baicalein (Fig. 1), a flavonoid derived from the root of *Scutellaria baicalensis*, has been revealed to possess a wide variety of biological activities, such as antitumor, anti-microbial, anti-inflammatory and anti-ischemic properties (18-21). Since it is a promising anticancer drug, it is important to evaluate the beneficial effect of baicalein as a single treatment or when administered in combination with other conventional anticancer drugs. In a previous study, Taniguchi et al. found that baicalein overcomes tumor necrosis factor-related apoptosis-inducing ligand resistance in cancer cells through DR5 upregulation mediated by ROS induction and CHOP/GADD153 activation (22). However, the effects of baicalein on hypoxia-induced resistance to chemotherapeutic drugs and the related mechanisms in GC cells have not yet been determined.

In the present study, using a human GC cell line AGS, we found that baicalein decreased the glycolytic rate through reducing the expression of hexokinase-2 (HK2), lactate dehydrogenase A (LDHA) and pyruvate dehydrogenase kinase-1 (PDK1) under a hypoxic condition, leading to the reversal of hypoxia-induced resistance to 5-FU in AGS cells. In addition, baicalein treatment suppressed HIF-1α expression and this process was at least partly due to the upregulation of PTEN and downregulation of Akt phosphorylation. Collectively, it is suggested that inhibition of glycolysis of cancer cells via modulation of the PTEN/Akt/HIF-1α signaling pathway could be one of the mechanisms whereby baicalein overcomes the hypoxia-induced resistance of GC cells to chemotherapeutic drugs.

Materials and methods

**Reagents and antibodies.** Baicalein was purchased from Enzo (Farmingdale, NY, USA); 5-FU was obtained from Sigma; primary antibodies for HIF-1α, p-Akt, Akt, PTEN, HK2, PDK1, LDHA, β-actin and secondary antibodies, HRP-conjugated goat anti-rabbit IgG were purchased from Cell Signaling Technology (Beverly, MA, USA).

**Cell culture.** The human GC cell line AGS was purchased from KeyGen (Nanjing, Jiangsu, China). Cells were cultured with RPMI-1640 medium (Life Technologies, Grand Island, NY, USA) supplemented with 10% fetal bovine serum, 100 U/ml penicillin and 100 µg/ml streptomycin in a humidified incubator at 37°C under 5% CO2 in air. For hypoxia experiments (0.2% O2), cells were incubated in an airtight chamber flushed with a gas mixture containing 10% CO2, 10% H2 and 80% N2.

**Drug preparation.** Baicalein and 5-FU were dissolved in dimethyl sulfoxide (DMSO) to prepare stock solutions. The working concentrations of baicalein and 5-FU were made by diluting the stock solutions in the complete culture medium. The final DMSO concentration of solution used in all experiments did not exceed 0.45%.

**Cytotoxicity assay.** The cytotoxicity of baicalein on AGS cells under hypoxia was examined by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. In brief, AGS cells were seeded into 96-well plates at a density of 4x104 cells/well in 0.1 ml medium overnight prior to a 24- or 48-h treatment with increasing concentrations of baicalein (0, 10, 20, 40, 60 and 80 µM) under hypoxia. After treatment, MTT solution was added into each well and the plates were incubated for an additional 4 h, allowing viable cells to reduce the yellow MTT into purple-blue formazan crystals. Finally, DMSO was used to dissolve the formazan crystals. The absorbance was measured at 570 nm by a microplate reader (ELX800; BioTek, Winooski, VT, USA). The cell viability was calculated according to the formula: ODdrug/ODcontrol x 100%.

**Analysis of drug resistance and reversal effect.** The effect of hypoxia on sensitivity to 5-FU and the ability of baicalein to reverse hypoxia-induced 5-FU resistance in AGS cells were evaluated using the MTT assay. AGS cells in log-phase were harvest and seeded into 96-well plates (4x103 cells/well) overnight. Increasing concentrations of 5-FU (0, 10, 20, 40, 60 and 200 µM) or combinations of 5-FU with baicalein (10, 20 and 40 µM) were added and further incubated for 48 h under normoxic or hypoxic conditions. The cell viability was determined using the MTT assay as mentioned before. The concentration resulting in 50% inhibition of cell viability (IC50) of 5-FU was calculated by GraphPad Prism 5 software. In addition, the resistant index (RI) and reversal fold (RF) were calculated as follow:

RI = IC50 of 5-FU under hypoxia / IC50 of 5-FU under normoxia
RF = IC50 of 5-FU under hypoxia / IC50 of 5-FU under hypoxia + baicalein

**Measurement of the metabolic rate of glucose and lactate.** The glucose uptake and lactate production assay was performed as previously described with some modifications (23). In brief, cells were seeded in 25 cm² flasks (8x104 cells/flask) and treated with different concentrations of baicalein (0, 10, 20 and 40 µM) under normoxic or hypoxic conditions for 24 h. Then the culture medium was collected. The concentrations of glucose and lactate in the medium were respectively measured by an Amplex Red Glucose/Glucose Oxidase assay kit (Life Technologies) and a Lactic Acid assay kit (KeyGen, Nanjing, China), according to the manufacturer's instructions. The absorbance at 560 nm (glucose) or at 530 nm (lactate) was respectively detected using a microplate reader or a spectrophotometer. Consumption of glucose and production of lactate were calculated from the difference between the...
concentrations in the medium at the beginning and at the end of drug treatment.

Real-time quantitative polymerase chain reaction (qPCR). After AGS cells achieved treatment as mentioned previously, total RNA was extracted from cells using RNAsiso reagent (Takara, Dalian, China) following the manufacturer's protocol. cDNA was synthesized from 1 µg of total RNA using the PrimeScript™ RT reagent kit (Takara). Real-time quantitative PCR analysis was performed using DyNAmo ColorFlash SYBR-Green qPCR kit (Thermo Fisher, Waltham, MA, USA) with 7500 Fast Real-Time PCR System (Applied Biosystems, Foster City, CA, USA). The data were analyzed using the 2^{-\Delta\Delta Ct} method. The gene expression levels were normalized to \( \beta \)-actin and are presented as relative fold change compared to the control. All reactions were independently repeated three times and the average was calculated. The sequences of the primers are shown in Table I.

Western blotting. After AGS cells achieved treatment as mentioned previously, the cells were lysed in RIPA buffer (Pierce, Rockford, IL, USA) with complete protease inhibitors and PhosSTOP Phosphatase Inhibitor (Roche, Mannheim, Germany). Then the lysates were clarified by centrifugation at 4°C for 15 min at 14,000 rpm. The concentration of protein in the supernatants was detected using the BCA protein assay kit (Pierce). Then an equal amount of proteins was separated by 10% SDS-PAGE gels and transferred onto PVDF membranes (Roche). The PVDF membranes were blocked with 3% bovine serum albumin for 1.5 h and probed with the indicated primary antibodies overnight at 4°C. After three time washing with TBST, the membranes were incubated with the appropriate HRP-conjugated secondary antibody for 1 h at room temperature. The bands were detected using enhanced chemiluminescence (Pierce).

Statistical analysis. All experiments were repeated at least three times. Data are presented as means ± SD. The differences between groups were analyzed by the Student's t-test or one-way ANOVA using SPSS 19.0 software. A probability value P<0.05 was considered to indicate a statistically significant result.

Results

Cytotoxic effect of baikalein on AGS cells under hypoxic conditions. The cytotoxic effect of baikalein on AGS cells under hypoxia was examined by MTT assay. The result indicated that baikalein inhibited the proliferation of AGS cells in a concentration-dependent manner under hypoxia. According to the dose-effect curve (Fig. 2), 10 and 20 \( \mu \)M baikalein were not cytotoxic to AGS cells at both 24 and 48 h (cell viability >90%), 40 \( \mu \)M baikalein had a weak cytotoxic effect on AGS cells at 48 h (cell viability >80%), while 60 and 80 \( \mu \)M baikalein exhibited obvious cytotoxicity on AGS cells at 48 h (cell viability <65%). Based on the above data, we employed 10, 20 and 40 \( \mu \)M as optimum concentrations of baikalein for the subsequent studies.

Baicalein reverses hypoxia-induced 5-FU resistance in AGS cells. The effect of hypoxia on the sensitivity of AGS cells to 5-FU and the ability of baikalein to reverse hypoxia-induced 5-FU resistance in AGS cells were evaluated using the MTT assay. As shown in Fig. 3A, the cytotoxic effect of 5-FU on AGS cells was significantly weakened under a hypoxic condition. The RI by hypoxia was 2.65 (Table II). These results indicated that a hypoxic condition enhanced the resistance of AGS cells to 5-FU. The addition of baikalein attenuated the increased resistance of the AGS cells to 5-FU under a hypoxic condition; the responsiveness was reduced to the level observed under a normoxic condition and even lower (Fig. 3B). In addition, the RF of baikalein at 10, 20 and 40 \( \mu \)M was 3.09, 5.60 and 10.05, respectively (Table II).

An RF >1 indicates enhanced drug sensitivity in the presence of a modulator and the greater the RF magnitude, the more significant is the reversal effect.

Baicalein inhibits glucose uptake and lactate production of AGS cells under a hypoxic condition. It is well known that hypoxia enhances the glycolytic flux of cancer cells, which has been demonstrated to be responsible for drug resistance associated with hypoxia. Thus, we tested the effect of baikalein on glycolysis in AGS cells under a hypoxic condition. The glycolytic efficiency of cells are reflected by the rates of glucose uptake and lactate production.

Table I. Real-time qPCR primers.

<table>
<thead>
<tr>
<th>Sequence of primers (5’ to 3’)</th>
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<tbody>
<tr>
<td>HK2 Forward: TGCCACCAGACTAACAAGCAGC</td>
</tr>
<tr>
<td>Reverse: CCGTGCCCCAACCATAGAGAC</td>
</tr>
<tr>
<td>LDHA Forward: ATGGCAAATCTAAAGGATCAAGC</td>
</tr>
<tr>
<td>Reverse: CCAACCCCAAACACCTCGTAATCT</td>
</tr>
<tr>
<td>PDK1 Forward: CTGTGATACGGATCAGACCG</td>
</tr>
<tr>
<td>Reverse: TCCACAAAACAAATAAAGAGTGC</td>
</tr>
<tr>
<td>( \beta )-actin Forward: CCTCCATCGTCCACCGCAAAT</td>
</tr>
<tr>
<td>Reverse: GCTGTCACTTCCACCGTCCA</td>
</tr>
</tbody>
</table>

HK2, hexokinase-2; LDHA, lactate dehydrogenase A; PDK1, pyruvate dehydrogenase kinase-1.
uptake and lactate production. Our results showed that AGS cells consumed more glucose and generated more lactate for survival and growth under a hypoxic condition compared with that under a normoxic condition. Nevertheless, the increased glucose uptake and lactate production under hypoxia gradually returned to the levels under normoxia after treatment with baicalein (Fig. 4). These results imply that baicalein retarded the accelerated glycolytic rate induced by hypoxia.

**Baicalein inhibits the expression of glycolysis-related enzymes in AGS cells under a hypoxic condition.** Next, we investigated the enzymes that may participate in baicalein-induced glycolysis inhibition under a hypoxic condition. Using qPCR and western blotting, we found that baicalein profoundly attenuated the expression of hypoxia-induced glycolysis-related enzymes (HK2, LDHA and PDK1) in a dose-dependent manner (Fig. 5).

**Baicalein inhibits hypoxia-dependent HIF-1α expression via regulation of the PTEN/Akt pathway in AGS cells.** Since HIF-1α is the major transcriptional factor activated by hypoxia and activation of HIF-1α amplifies glycolysis by increasing the synthesis of glycolytic enzymes, we hypothesized that HIF-1α may be involved in the inhibition of baicalein to glycolysis. As a result, baicalein reduced the protein level of HIF-1α in a dose-dependent manner under a hypoxic condition.

Considering the importance of the Akt signaling pathway in regulating hypoxic responses of tumor cells, we investigated the effect of baicalein on the phosphorylation of Akt in

<table>
<thead>
<tr>
<th>Treatment</th>
<th>IC_{50} (µM) (normoxia)</th>
<th>IC_{50} (µM) (hypoxia)</th>
<th>RI</th>
<th>RF</th>
</tr>
</thead>
<tbody>
<tr>
<td>5-FU</td>
<td>562.9±45.2</td>
<td>1,495±356.5</td>
<td>2.65</td>
<td>-</td>
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<tr>
<td>5-FU + baicalein (10 µM)</td>
<td>-</td>
<td>482.9±38.5</td>
<td>-</td>
<td>3.09</td>
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<tr>
<td>5-FU + baicalein (20 µM)</td>
<td>-</td>
<td>266.8±25.1</td>
<td>-</td>
<td>5.60</td>
</tr>
<tr>
<td>5-FU + baicalein (40 µM)</td>
<td>-</td>
<td>148.8±26.8</td>
<td>-</td>
<td>10.05</td>
</tr>
</tbody>
</table>

AGS cells were treated with various concentrations of 5-FU in the present of 0, 10, 20 and 40 µM of baicalein for 48 h under normoxia or hypoxia. IC_{50} values for 5-FU, the resistance index (RI) and the reversal fold (RF) were calculated. Data are represented as means ± SD of three independent experiments. *P<0.05 vs. normoxia; ^P<0.05 vs. 5-FU alone. 5-FU, 5-fluorouracil.
AGS cells under a hypoxic condition. As predicted, baicalein suppressed Akt phosphorylation under hypoxia (Fig. 6). In addition, we also found that baicalein facilitated the protein expression of PTEN under hypoxia, which is an upstream negative regulator of the Akt pathway. As HIF-1α is one of the target genes mediated by the PTEN/Akt pathway, regulating PTEN/Akt signaling may be the mechanism whereby baicalein inhibits hypoxic induction of HIF-1α protein expression.

**Discussion**

Hypoxia exists in most solid tumors due to an inadequate and poorly formed vasculature. Most conventional chemotherapeutic drugs exhibit less effectiveness under hypoxic conditions in cancer treatment (5). Therefore, there is urgent need to seek novel and better sensitizers to overcome hypoxia-mediated chemotherapeutic resistance. In the present study, we demonstrated for the first time the potential applicability of baicalein as a novel therapeutic sensitizer to improve the efficacy of 5-FU for the treatment of GC in vitro. We found that baicalein reversed 5-FU resistance induced by hypoxia in AGS cells. Specifically, the doses of baicalein we used were non-cytotoxic or weakly cytotoxic to AGS cells under a hypoxic condition.

Although hypoxia is toxic to cells, malignant cells develop adaptive mechanisms to evade death caused by hypoxia and to resist the assault of chemotherapy. Increased glycolysis is one of the hypoxia-induced phenotypes linked to chemotherapeutic resistance and hypoxia. Glycolysis is the main metabolic pathway for hypoxic cancer cells to generate ATP. Most known proteins involved in the drug resistance phenotype, such as drug efflux pumps, function by relying on ATP. ATP depletion induced by glycolytic inhibition can cause a severe energy crisis, leading to cancer cells becoming more susceptible to chemotherapeutic drugs (24). Moreover, mitochondrial dysfunctions and free radical generation have also been implicated in the apoptosis or necrotic process caused by chemotherapeutic drugs (25-27). Glycolytic metabolism maintains mitochondrial potential in an ATP-dependent manner and scavenges mitochondrial superoxide in a pyruvate-dependent manner, leading to drug failure (28). A previous study showed that dichloroacetate (DCA), a glycolysis inhibitor, was effective in reducing cellular resistance to 5-FU under a hypoxic condition (29). Considering the important role of glycolysis in hypoxia-induced drug resistance, we hypothesized that inhibition of glycolysis may contribute to the reversal effect of baicalein under hypoxia. For verification, we assessed the effect of baicalein on glycolysis and glycolysis-related enzymes in GC cells under a hypoxic condition. As predicted, baicalein enhanced glucose uptake and lactate production under hypoxia gradually back to levels noted under normoxia. This process may be at least partially due to downregulation of HK2, LDHA and PDK1 by baicalein treatment. HK is the enzyme that controls the first and rate-limiting step of glycolysis, which is the irreversible phosphorylation of glucose to glucose-6-phosphate.
with consumption of ATP. HK2 is the major isofrom that is predominately expressed in a wide range of malignant tumors and is closely correlated with enhanced glycolysis and survival of cancer cells (30,31). LDH is the last glycolytic enzyme that catalyzes the reduction of pyruvate to lactate, the end-product of glycolysis. LDH is the isofrom that possesses the highest efficiency to convert pyruvate to lactate under hypoxic conditions. Previous research demonstrated that inhibition of LDHA expression decreased the proliferation of tumors under hypoxic conditions and stimulated mitochondrial respiration (32,33). Moreover, increased expression of LDHA plays an important role in resistance of human breast cancer cells to taxol and inhibition of LDHA re-sensitizes taxol-resistant cells to taxol (34). PDK1 is a Ser/Thr kinase that inactivates mitochondrial pyruvate dehydrogenase (PDH) by phosphorylation. As PDH is a gatekeeper enzyme that converts pyruvate to acetyl-CoA, production of PDK1 represses mitochondrial function and causes a shift in energy metabolism from oxidative phosphorylation to glycolysis. This process has been demonstrated to prevent the generation of reactive oxygen species, allowing cancer cell survival in hypoxic conditions (35,36). Inhibition of PDK1 has also been found to attenuate hypoxia-induced resistance to 5-FU in GC through regulation of glycolysis (29).

We further focused on HIF-1 since its functions were the most well defined in relation to modifying glycolysis triggered by hypoxia. The molecular mechanisms whereby HIF-1 modulates tumor glycolytic flux were involved in the upregulation of several key glycolytic enzymes including HK2, LDHA and PDK1 (37,38). In the present study, we found that the protein level of HIF-1α (active subunit of HIF-1) was downregulated by baicalein under a hypoxic condition. A similar finding was obtained by Krishnamoorthy et al in prostate cancer cells (39). Additionally, we investigated the mechanism implicated in the inhibition of HIF-1α by baicalein. The aberrant activation of the Akt signaling pathway often occurs in hypoxic cancer cells and contributes to the development of malignant phenotypes. Substantial evidence has revealed a mechanism of HIF-1α regulation by Akt signaling in the process of hypoxia-induced chemoresistance (12-15). PTEN, an upstream negative regulator of Akt, has been suggested as an inhibitor of HIF-1α via inactivation of the Akt pathway (17,40,41). We found that baicalein suppressed hypoxia-induced Akt phosphorylation through promotion of PTEN accumulation in AGS cells. Taken together, baicalein may inhibit hypoxia-dependent HIF-1α expression via the PTEN/Akt pathway.

In conclusion, we demonstrated that baicalein reversed hypoxia-induced 5-FU resistance through suppression of glycolysis via regulation of the PTEN/Akt/HIF-1 signaling pathway. It was also suggested that baicalein may be a potential therapeutic sensitizer which could be applied to increase 5-FU efficacy in the treatment of GC.

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