Abstract. 5-Fluorouracil (5-FU) resistance or multidrug resistance (MDR) has become a major obstacle in clinical treatment of cancers including colorectal cancer (CRC). Aberrant activation of phosphatidylinositol 3 kinase (PI3K)/protein kinase B (AKT) pathway may lead to unlimited growth and chemoresistance in CRC cells, which thus could be a promising therapeutic target. As a long-term used traditional Chinese folk-medicine, *Scutellaria barbata* D. Don (SB) processes specific anticancer activity, but its activity against cancer chemoresistance is less known. Therefore, using a 5-FU-resistant CRC cell line HCT-8/5-FU, in this study we evaluated the therapeutic efficacy of the ethanol extracts of SB (EESB) against 5-FU resistance and explored the possible molecular mechanisms. We found that EESB significantly suppressed proliferation and promoted apoptosis in HCT-8/5-FU cells. Additionally, EESB displayed remarkable effect enhancing the retention of the ATP-binding cassette (ABC) transporter substrate, rhodamine-123 (Rh-123) in HCT-8/5-FU cells. Furthermore, EESB obviously down-regulated the expression of cyclin D1, Bcl-2 and ABCG2, while upregulated p21 and Bax expression. Moreover, EESB showed a prominent suppressive effect on the activation of PI3K/AKT pathway. The findings suggested that *Scutellaria barbata* D. Don was able to inhibit chemoresistance in colorectal cancer by suppression of the PI3K/AKT pathway.

Introduction

Colorectal cancer (CRC) has become a serious concern for public health issues, with more than million new cases each year (1,2). The CRC morbidity keeps climbing and its estimated figure may reach 2.4 million in 2035 globally (3,4). Surgery assisted with chemotherapy represents the first-line strategy for most patients (5). Currently, the management of chemotherapy is applied using various chemoreagents including 5-fluorouracil (5-FU), leucovorin (LV), oxaliplatin, irinotecan, capecitabine, bevacizumab and cetuximab, either as single agent or in combination (6). However, chemoresistance greatly limits the clinical outcome of the treatment on CRC patients, resulting into most cancer-related deaths.

5-FU as the most commonly used agent in many chemotherapy regimens, may lead to acquired resistance in cancer cells. Moreover, 5-FU-resistant cells can develop resistance to other drugs, like those with very different acting mechanisms and/or chemical structures, which is defined as multidrug resistance (MDR) (7). The mechanisms of chemoresistance are complicated, including the increase in drug efflux, reduction in drug absorption, changes in the targets of anticancer drugs, decrease in drug activity, enhancement of DNA repair following damage, deregulation of signaling pathways (8). PI3K/AKT pathway exerts essential roles in survival, proliferation, migration and differentiation of cells. However, once it is aberrantly activated, it will underlie the biology of cancer and enhance drug efflux by highly expressing ABC transporters, reducing the response to 5-FU or other chemotherapeutic agents (9-11). Thus, the inhibition of PI3K/AKT pathway becomes a promising therapeutic target.

The existing chemical reversal agents targeting different mechanisms of MDR are of poor selectivity and with apparent side effects (12). Besides, the genomic instability and heterogeneity of cancer cells make it ineffective or even encounter drug resistance during the single-target treatment (13), which increases the urgency for developing new therapeutic approaches. *Scutellaria barbata* D. Don (SB) is a well-known traditional Chinese folk-medicine that has been widely used in the treatment of various kinds of
cancers including CRC (14). As reported previously, the ethanol extract of SB (EESB) possesses significant antitumor activity by promoting cell apoptosis, as well as inhibiting cell proliferation and tumor angiogenesis via modulating several pathways (15-17). However, its activity against cancer chemoresistance is less known. Therefore, using a 5-FU-resistant CRC cell line HCT-8/5-FU, in this study we evaluated the therapeutic efficacy of the ethanol extracts of SB (EESB) against 5-FU resistance and explored the possible molecular mechanisms.

Materials and methods

Materials and reagents. Roswell Park Memorial Institute medium-1640 (RPMI-1640, C11875500BT), fetal bovine serum (FBS, #10099-141), 0.25% trypsin-EDTA (#25200-072), penicillin-streptomycin (SV30010), DreamTaq Green PCR Master Mix (K1081), Pierce RIPA buffer (#89901), Pierce BCA Protein assay kit (#23227) and SuperSignal™ West Pico Chemiluminescent Substrate (#34080) were obtained from Thermo Fisher Scientific, Inc. (Waltham, MA, USA). Culture flask and plates were from NEST Biotechnology Co., Ltd. (Jiangsu, China). 5-FU (#40302) were obtained from Xudong Haipu Pharmaceutical Co., Ltd. (Shanghai, China). 3-[4,5-dimethylthiazol-2-yl]-2,5-diphenyl-tetrazolium bromide (MTT, M8180) was from Solarbio Science and Technology (Beijing, China). Annexin V-FITC apoptosis detection kit (KG1A08) was obtained from KeyGen Biotech Co., Ltd. (Jiangsu, China). DAPI staining solution (C1005) and Rho-123 (C2007) were obtained from Beyotime Biotech Co., Ltd. (Jiangsu, China). DAPI staining was used to determine apoptosis of cells after EESB treatment. Briefly, 4% paraformaldehyde, stained with 0.01% crystal violet and photographed.

Preparations of EESB. EESB powder was prepared as previously described (15) and dissolved into DMSO to make a stock solution with a concentration of 500 mg/ml, and stored at -20°C. Immediately before each experiment, stock solution was diluted into culture medium to make different working concentrations of EESB. The content of DMSO in the medium was ≤0.5%.

Cell culture. Human CRC cell lines HCT-8/5-FU and the parental HCT-8 cells were obtained from KeyGen Biotech Co., Ltd. Cells were cultured in RPMI-1640 complete medium, containing 10% (v/v) FBS and 1% antibiotics, and at condition of 37°C, 5% CO₂ in an incubator with saturated humidity (Forma 3110; Thermo Fisher Scientific, Inc. The HCT-8/5-FU cells were grown in the complete medium with 15 µg/ml 5-FU.

Cell viability analysis. Cell viability of HCT-8 and HCT-8/5-FU was estimated by MTT assay. In short, cells were plated into 96-well plates (1x10⁴ cells per well) in 100 µl of medium. After 12 h, cells were dealt with different doses of 5-FU or EESB for indicated time. Equivoluminal DMSO was used as the vehicle control. Details for MTT assay were as described before (15). The resistance index (RI) was used to analyze the drug resistance of the HCT-8/5-FU cells to 5-FU. RI was calculated by dividing the dose of 5-FU required to inhibit growth by 50% (IC₅₀) for HCT-8/5-FU cells by the IC₅₀ value for the parental cells (HCT-8). IC₅₀ values were assessed using non-linear regression analysis.

Cellular morphology observation. HCT-8/5-FU cells were plated into 6-well plates at a density of 5x10⁵ cells/well in 2 ml complete medium and administered with EESB (0, 0.5, 1.0 and 1.5 mg/ml) for 24 h. A phase-contrast microscope (Leica Camera AG; Leica Microsystems, Wetzlar, Germany) was used to observe cell morphology, and images were photographed at a magnification of x200.

Colony formation. HCT-8/5-FU cells were seeded into 6-well plates at a density of 5x10⁵ cells/well in 2 ml complete medium and intervened with EESB (0, 0.5, 1.0 and 1.5 mg/ml) for 24 h. Subsequently, cells were collected, diluted with fresh medium without EESB, and reseeded into 6-well plates at a density of 1,000 cells/well in 2 ml. The medium was replaced with fresh medium every four days, and 10 days later, colonies were fixed with 4% paraformaldehyde, stained with 0.01% crystal violet and photographed.

Apoptosis detection with DAPI and Annexin V-FITC/PI staining. DAPI staining was used to determine apoptosis of HCT-8/5-FU cells after EESB treatment. Briefly, 4% paraformaldehyde was added to fix the cells at room temperature for 15 min and washed with PBS 3 times. Subsequently, DAPI solution was added to stained cells at room temperature for 10 min and washed with PBS 3 times. DAPI stained cells were visualized using an inverted fluorescence microscope (DMI4000B; Leica Microsystems) with 100 W mercury lamp light source using UV filter cubes. Excitation was 340 nm (long pass). Furthermore, Annexin V-FITC/PI double staining followed by FACScalibur determination were used to verify the apoptosis-inducing effect of EESB. Procedures were performed according to the manufacturer's instructions. Herein, Annexin V/PI double-negative population (in the lower left quarter of FACS diagram) indicates living cells; Annexin V-positive/PI-negative or Annexin V/PI double-positive population (in the lower right quarter or upper right quarter of FACS diagram) stands for cells undergoing early or late apoptosis, respectively. Final results are represented by calculating the percentage of both early and late apoptosis as total apoptosis.

Rhodamine-123 (Rh-123) exclusion. Rh-123 is the substrate of the ATP-binding cassette (ABC) transporter, and the Rh-123 exclusion assay was used to investigate the reversal effect according to the retention of Rh-123 after treatment. Briefly, HCT-8/5-FU cells were treated with EESB (0, 0.5, 1.0 and 1.5 mg/ml) for 24 h before collected, and a total of 10⁶ cells
in 1 ml medium with 5 µg/ml Rh-123 were incubated at 37˚C for 10 min. Then cells were washed twice with pre-cold PBS and resuspended in 0.5 ml PBS, followed by 30-min incubation at 37˚C. Fluorescence intensity was detected at 488 nm to determine the intracellular content of Rh-123 and quantitated using the FACSCalibur flow cytometer (BD FACSCalibur; Becton-Dickinson, CA, USA). The results were indicated as the mean fluorescence intensity of Rh-123.

Reverse transcription polymerase chain reaction (RT-PCR) analysis. HCT-8/5-FU cells (4x10^5) were plated into 6-well plates in 2 ml complete medium and treated with EESB (0, 0.5, 1.0 and 1.5 mg/ml) for 24 h. Total RNA were extracted with RNAiso plus and reverse-transcribed by the PrimScript RT reagent kit with gDNA Eraser, according to the manufacturer’s instructions. The cDNA was used to measure the mRNA amount of cyclin D1, p21, Bcl-2, Bax and ABCG2 by RT-PCR. β-actin was used as an internal control. The RT-PCR conditions were performed as follows: denaturation at 94˚C for 40 sec, annealing at 60˚C for 40 sec and extension at 72˚C for 45 sec for 30 cycles. The sequences of primers are listed in Table I.

Western blot analysis. HCT-8/5-FU cells were treated as previously described, then Pierce RIPA buffer, which consist of several protein inhibitors, was used to lyse the cells. The lysates were then centrifuged for 20 min at the condition of 14,000 rpm and low temperature, and the concentrations of supernatant were detected by BCA Protein Assay Reagent kit. Protein (50 µg) for each sample was loaded onto sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE) and resolved using 20 V for 10 min, subsequently 80 V for 30 min followed by 120 V for 1 h, then transferred onto nitrocellulose (NC) membranes. After blocking with 5% non-fat dry milk, membranes were incubated with cyclin D1, p21, Bcl-2, Bax, ABCG2, PI3K, p-AKT, AKT or β-actin, respectively (1:1,000 dilution) overnight at 4˚C, and then incubated with HRP-conjugated anti-rabbit secondary antibodies (1:5,000 dilution) for 1 h at room temperature. The membranes were then exposed to enhanced chemiluminescence (ECL) detection using SuperSignal™ West Pico Chemiluminescent Substrate. Images were obtained with ChemiDoc XRS+ imaging system (Bio-Rad Laboratories, Inc., Hercules, CA, USA). The images were analysed by Image Lab™ Software (Version 3.0).

Statistical analysis. All data were collected based on the mean of three experiments. Statistical analysis was performed using SPSS software (version 17.0) for Windows (SPSS, Inc. Chicago, IL, USA) using one-way ANOVA. P<0.05 was considered as statistically significant.

Results

EESB overcomes 5-FU resistance in CRC HCT-8 cells. After exposure to 5-FU or EESB, the viability of HCT-8 and HCT-8/5-FU cells was examined by MTT assay. Data in Fig. 1
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181 shown that the IC_{50} value in HCT-8 and HCT-8/5-FU cells were 0.11 and 2.91 mM, respectively, leading to a resistance index (RI) of 25.34. However, treatment with 0.25-2.5 mg/ml of EESB dose-dependently reduced HCT-8/5-FU cell viability by 12.92-78.01%, as compared with untreated cells.

EESB inhibits proliferation, promotes apoptosis and inhibits drug efflux in HCT-8/5-FU cells. Effect of EESB on cell growth was determined by observation of cell morphology and colony formation. As shown in Fig. 2A, the monolayers of untreated HCT-8/5-FU cells were crowded and disorganized, while the cell density of treated ones showed a reduction in the confluent monolayers. In addition, the colonies reduced after EESB treatment in a dose-dependent manner (Fig. 2B and C). Cell apoptosis was assessed by DAPI and Annexin V/PI staining, respectively. When apoptosis occurs, cells will experience a process of chromatin condensation, the nucleus shrinks and DNA fragments, so that they stain by DAPI with strong light. As shown in Fig. 3A and B, the percentage of cells with strong dye treated with 0, 0.5, 1 and 1.5 mg/ml of EESB was 2.90, 31.81, 79.59 and 94.31%, respectively (P<0.05). Then, we used Annexin V/PI staining to verify this result. As shown in Fig. 3C and D, with the indicated concentration of EESB treatment, the percentage of total apoptotic cells increased from 3.2 to 33.9%, in a dose-dependent manner. To find out the effects of EESB on drug efflux, the intracellular accumulation of Rh-123 was measured in EESB-treated HCT-8/5-FU cells. Data in Fig. 4 show that when compared with untreated controls, HCT-8/5-FU cells treated with EESB for 24 h had a distinct rise in Rh-123 intracellular level.

EESB regulates expression of cyclin D1, p21, Bcl-2, Bax and ABCG2 in HCT-8/5-FU cells. RT-PCR and western blot analyses were used to determine the mRNA and protein levels of cyclin D1, p21, Bcl-2, Bax and ABCG2 in EESB-treated HCT-8/5-FU cells. According to results (Fig. 5), pro-proliferative cyclin D1 and anti-apoptotic Bcl-2 were reduced in both mRNA and protein expression under EESB treatment while anti-proliferative p21 and pro-apoptotic Bax showed increased levels. Besides, the ABC transporter, ABCG2, was significantly downregulated by EESB treatment.

EESB suppresses the activation of PI3K/AKT pathway in HCT-8/5-FU cells. The activation of PI3K/AKT signaling was evaluated by western blot analysis. As shown in Fig. 6, EESB treatment remarkably decreased PI3K protein expression and AKT phosphorylation level, while the protein expression of total AKT was not affected.

Discussion

Currently, 5-FU-based regimens still are chosen for most CRC patients as a therapy. However, the sensitivity to different chemotherapeutics varies widely from individual to individual. Due to 5-FU resistance or MDR and the fact that normal cells cannot stand a certain level of toxicity, systemic chemotherapy using 5-FU-based regimens shows a poor response of 10-20% (18-21). A few mechanisms have been proposed for the resistance to chemotherapeutic agents in CRC cells.

Among those proposals, the disorder in cell proliferation contributes to MDR. For example, cancer cells survive by controlling the cell cycle. Under this main working mechanism, cell cycle checkpoints may be activated when cancer cells are in drug-toxicity, so that cell cycle cannot progress. This leads to the enhancement of damage repair and resistance phenotype. Cyclin D1 and p21 are crucial regulators in the cell cycle. By regulating with these two regulators, the
cell cycle has been shown arrested by many anticancer agents at a particular checkpoint (22-24). Therefore, to develop anticancer and MDR reversal agents, a decisive method is to target the specific cell cycle regulators. MDR cells often show a strong ability of apoptosis resistant due to the imbalance of pro- and anti-apoptosis. In blocking apoptosis, a key role is played by anti-apoptotic Bcl-2 protein, while its overexpression also leads to evasion of apoptosis and the increase of MDR. On the other hand, pro-apoptotic Bax can make malignant cells more sensitive to apoptosis, which leads to overcoming MDR (25,26), but it is always with a low expression in MDR cells, together with the upregulated Bcl-2,
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Supporting the MDR phenotype. However, the gene therapy directly targeting the cyclin D1, p21, Bcl-2 and Bax remains controversial.

Besides, the high expression of ABC transporters also draws an attention. ABC transporters, relating to plasma membrane and depending on consuming energy, work as efflux pumps, effectively putting various substrates across lipid bilayers (27-29). Its role in fighting against xenobiotics and their metabolites has been of great importance (30,31) and this also worked in the ATP-dependent export of chemotherapeutic drugs that makes contribution to the MDR phenotype found in CRC (32,33). When ABC transporters overexpress themselves, many drugs show a reduced level in toxicity and the intercellular accumulation; thus, showing promise in CRC that ABCG2 can be the target for therapeutic treatment, and effort has been focused on the identification of ABCG2 pharmaco-

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**Figure 5.** Effect of EESB on expression of cyclin D1, p21, Bcl-2, Bax and ABCG2 in HCT-8/5-FU cells. HCT-8/5-FU cells were treated with different concentrations of EESB for 24 h. The mRNA (A and B) and protein (C and D) expression levels were determined by RT-PCR and western blot analysis, respectively. β-actin was used as the internal control. Images are representatives of three independent experiments. (B and D) Data were normalized to the control cells (100%) and were expressed as mean ± SD of three independent experiments. *P<0.05, versus control cells.

**Figure 6.** Effect of EESB on activation of PI3K/AKT pathway in HCT-8/5-FU cells. HCT-8/5-FU cells were treated with different concentrations of EESB for 24 h. (A) The expression of PI3K, p-AKT and AKT were determined by western blot analysis. β-actin was used as the internal control. Images are representatives of three independent experiments. (B) Data were normalized to the control cells (100%) and were expressed as mean ± SD of three independent experiments. *P<0.05, versus control cells.
phores and their effective inhibition in the efflux effect (34). Effort to develop reversing agents that target ABC transporters has been reported, yet in clinical application the limitations of these agents, including the ABCG2 dual inhibitor, Elacridar, have shown poor performance of their solubility and the reduction in oral bioavailability (35-37). However, it has been reported that without the PI3K/AKT signaling pathway, many cellular functions such as cell cycle, cell proliferation and cell survival cannot regulate normally (38,39). Also, according to several studies, AKT mediate the regulation of ABCG2 function and localization to the plasma membrane. Such is not the constrain in tumor development but in the tumor's potential response to cancer treatment. Being activated, this pathway has decreasing sensitivity to chemotherapeutics which contribute to treatment failure. Targeting the PI3K/AKT signaling pathway is just like the chemotherapeutics which contribute to treatment failure. A flavonoid derivative, LY294002 (LY), inhibitor of PI3K/AKT pathway, has been reported, but still requires clinical trial (40). Approaches are not many to reduction of side effects and of the risk of inducing drug resistance in CRC, thus proposal to adopt alternative remedies, such as traditional medicines and herbs is being supported by patients and clinicians alike (41).

The effects of EESB, a traditional Chinese herbal medicine, in anticancer use has been revealed as potent, albeit knowledge how EESB works in reducing drug resistance is lacking. This study showed 5-FU effectively decrease the degree of cell viability of HCT-8 cells, making not significant impact on the drug-resistant HCT-8/5-FU cells (Fig. 1). Different from 5-FU, EESB treatment impressively decreased the cell proliferation and survival of HCT-8/5-FU cells mainly by regulating the related cyclin D1 and p21 (Figs. 2 and 5). Besides, EESB treatment significantly promoted apoptosis of HCT-8/5-FU cells through the rebalance of the Bcl-2 and Bax (Figs. 3 and 5). Moreover, the ABC transporters, ABCG2, is part of the reason why there is MDR phenotype in CRC, and by checking the accumulation assay of the Rh-123, molecularly the efflux function of ABC transporters in treated cells (42) can be measured. To further clarify how EESB functions as a reversing agent targeting ABC transporters, flow cytometry was used to evaluate the EESB’s role in Rh-123 in accumulation. EESB-treated cell presented obvious increased retention of Rh-123 and lower level of expression of ABCG2, based on the comparison with untreated controls (Figs. 4 and 5), which indicates that EESB may inhibit the efflux function of ABC transporters and thus increase drug accumulation in treated cells. Since the PI3K/AKT pathway is closely linked to the MDR in CRC, we evaluated the effect of EESB on HCT-8/5-FU cells, the results showed that EESB treatment obviously inhibited the activation of this pathway (Fig. 6).

In conclusion, the results of the present study further assistance in getting insight into EESB that can potently inhibit CRC drug resistance, however, due to the complexity of MDR, further mechanism studies are yet to be elucidated.

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References


